

This form is used to identify Australian Companies

Please note that in addition to this form, each signatory that signs the investment application must complete an Individual Identification Form and provide the supporting customer identification documentation.

Please complete Section 1 - 10 noting the options below to have your identification verified.

Options to have your form verified:

- **Financial Adviser-** If you are investing through a Financial Adviser your Adviser will accept original or certified copies of customer identification documents OR
- **KeyInvest office over the counter verification** KeyInvest will accept original or certified copies of customer identification documents OR
- **Mailed verification-** KeyInvest will accept certified copies of customer identification documents*.
*(Refer to section 12 for a list of Authorised Persons).

1. AUSTRALIAN COMPANY DETAILS

Full name of company
(as registered by ASIC)

Australian Company
Number (ACN) /
Australian Business
Number (ABN)

Registered Office Address (PO Box not acceptable)

Address

State

Postcode

Country

Principle Place of Business Address (PO Box not acceptable)

Does your company have a principle place of business? Yes No As above

Address

State

Postcode

Country

2. COMPANY TYPE (select ONE of the following categories)

Proprietary (i.e A company with a name ending in Proprietary Ltd or Pty Ltd; also known as private companies) proceed to section 4

Public (i.e A company with a name that does not include the word Pty or proprietary) proceed to section 3

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3. LISTING AND REGULATORY DETAILS (select ANY of the following categories if applicable)

Australian Public Listed company (companies that are listed on an Australian financial market such as the ASX)

Name of market
or exchange

Majority Owned Subsidiary of an Australian Public Listed company (companies that are majority owned by an Australian company that is listed on an Australian financial market such as the ASX)

Australian listed
company name

Name of market
or exchange

Regulated company (subject to the supervision of a Commonwealth, State or Territory statutory regulator beyond that provided by ASIC as a company registration body. Examples include Australian Financial Services Licensees (AFSL); Australian Credit Licensees (ACL); or Registrable Superannuation Entity (RSE) Licensees).

Regulator name

Licence details
(e.g AFSL, ACL, RSE)

4. DIRECTOR DETAILS

If there is insufficient space, please complete and attach a separate sheet.

How many Directors does your company have?

Director 1

Title Mr Mrs Ms Miss Dr Other

Surname

Given Name(s)

Director 2

Title Mr Mrs Ms Miss Dr Other

Surname

Given Name(s)

Director 3

Title Mr Mrs Ms Miss Dr Other

Surname

Given Name(s)

Director 4

Title Mr Mrs Ms Miss Dr Other

Surname

Given Name(s)



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5. BENEFICIAL OWNERSHIP

To be completed for all companies that did not make a selection at section 3

Are there any individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings)?

Yes (Complete section 6) No (Complete section 7)

6. SHAREHOLDER BENEFICIAL OWNERS

Provide the names of the individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings). Complete separate individual customer ID Forms for each of these individuals.

Full Given Name(s)

Surname

If Beneficial Owner name(s) are provided above, proceed to section 8

7. OTHER BENEFICIAL OWNERS

If there are no individuals who meet the requirement of section 6, provide the names of the individuals who directly or indirectly control* the company. *Includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding and practices; voting rights of 25% or more; or power of veto. If no such person can be identified then the most senior managing official/s of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

Complete separate individual customer ID Forms for each of these individuals.

Full given name(s)

Surname

Role (such as Managing Director)

If there are more Beneficial Owners, provide details on a separate sheet and tick this box

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8. FATCA INFORMATION (select ONE of the following categories and provide the information requested)

Financial Institution (A custodial or depository institution, an investment entity or a specified insurance company for FATCA purposes)

Provide the company's Global Intermediary Identification Number (GIIN), if applicable

If the company is a Financial Institution but does not have a GIIN, provide its FATCA status (select ONE of the following statuses)

Deemed Compliant Financial Institution No Reporting IGA Financial Institution

Excepted Financial Institution Nonparticipating Financial Institution

Exempt Beneficial Owner Other (describe the FATCA status)

If the company is a Financial Institution section 8 is now complete, proceed to section 9

Non-Financial Australian Public Listed Company or a corporate Australian Registered Charity (Public listed companies that are not Financial Institutions as described above or a company that is an Australian Registered Charity). If the company is an Australian Public listed Company or an Australian Registered Charity, section 8 is now complete.

Non-Financial Proprietary Company or an unlisted public company that are not Financial Institutions as described above

Are any of the company's Beneficial Owners US citizens or residents of the US for tax purposes

Yes No

If yes, provide an individual ID Form for this Beneficial Owner, including their US Taxpayer Identification Number (TIN), unless already provided in section 5 of this form.

9. IDENTIFICATION PROCEDURES

KeyInvest must verify:

- the full name of the Company as registered by ASIC;
- the registered office address;
- the Company registration as a Proprietary Company (i.e. Pty Ltd); and
- Australian Company Number (ACN).

To verify this information you must provide to KeyInvest the following documents listed at A or B:

Please mark x to indicate the type of documentation that you are providing.

A - Original or certified copy of a certificate registration issued by ASIC

OR

B - Current Company search of the ASIC database

10. DECLARATION BY APPLICANT

NOTE: This form must be signed in front of the verifying officer. This will either be your Financial Adviser, a KeyInvest staff member or an authorised person listed in section 8.

I/We declare that the information in this form including each supporting document.

- is complete and correct;
- if the declaration is on behalf of the applicant, authority must be provided (ie. Power of Attorney etc.);
- may be used in connection with any products and services I/we hold, apply for, request or obtain; and
- subject to KeyInvest's privacy policy, may be disclosed to and used KeyInvest to facilitate compliance with the anti-money laundering and counter-terrorist financing legislation.

These declarations are given by the Directors of the Company named in section 1 and any signatories signing this form.

Important: By signing on behalf of a Company you hereby confirm that you have the full authority to do so.

Name

Signature

Director

Secretary

Name

Signature

Director

Secretary

11. AUTHORISED PERSON USE ONLY

Customer Identification Verified

Customer Identification Documentation Attached

By signing this section, I acknowledge and confirm that I have identified the client(s) in accordance with applicable 'Know Your Customer' requirements.

Authorised Person Signature:

Authorised Person Stamp:

Authorised Person Name:

12. AUTHORISED PERSONS THAT CAN CERTIFY IDENTIFICATION DOCUMENTS

Your photocopied identification should be signed as a certified true copy by one of the following:

AUTHORISED PERSONS WHO CAN CERTIFY IDENTIFICATION DOCUMENTS	
A nominated employee of KeyInvest	A registrar or deputy registrar of a court
An officer with, or authorised representative of, a holder of an Australian Financial Services Licence, having 2 or more continuous years of service with one or more licensees (e.g. financial adviser)	An Australian consular officer or an Australian diplomatic officer
A lawyer	A judge of a court
A magistrate	A Chief Executive Officer of a Commonwealth court
A notary public	A Justice of the Peace
An authorised Australian Post Office permanent employee	An accountant (member of the ICA or CPA)
An officer with 2 or more continuous years of service with one or more finance institutions	A finance company officer with 2 or more continuous years of service with one or more finance companies

13. CONTACT US

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